

INFORMATION DISCLOSURE STATEMENT

Applicant

Goddard et al.

App. No

10/063,713

Filed

May 8, 2002

For

SECRETED AND

TRANSMEMBRAME

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Examiner

Claire M. Kaufman

Art Unit

1646

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing 27 references to be considered by the Examiner. Also enclosed are 14 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. In accordance with 37 C.F.R. § 1.97(c)(2), this Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

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EINFORMATION DISCLOSURE

Application No. 10/063,713

Filing Date May 8, 2002

First Named Inventor Goddard et al.

Art Unit 1646

Examiner Claire M. Kaufman

Attorney Docket No. GNE.3230R1C144

(Martiple sheets used when necessary)
SHEET 1 OF 2

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	1	6,025,156	02-15-2000	Gwynn, et al.		
	2	6,124,433	09-26-2000	Falb, et al.		
	3	6,156,500	12-05-2000	Falb, Dean		
	4	6,162,604	12-19-2000	Jacob, Chaim O.		
	5	6,228,582	05-08-2001	Rodier, et al.		
	6	6,395,306	05-28-2002	Cui, et al.		
	7	6,414,117	07-02-2002	Levinson, D. A.		
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	9	6,498,235	12-24-2002	Sheppard, et al.		
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	11	6,645,499	11-11-2003	Lal, et al.		
-76-4111	12	6,730,502	05-04-2004	Van Hijum, et al.		
	13	6,737,522	05-18-2004	Sundick, et al.		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹		
	14	ALBERTS, et al. 1994. <i>Molecular Biology of the Cell, 3rd Edition</i> , pp. 403-404, 453. New York: Garland Publishing.			
	15	ALBERTS, et al. 2002. <i>Molecular Biology of the Cell 4th Edition</i> , pp. 302, 363-364, 379, 435. New York: Garland Publishing.			
12. 17	16	GRIMALDI, et al. 1989. The t(5;14) chromosomal translocation in a case of acute lymphocytic leukemia joins the interleukin-3 gene to the immunoglobulin heavy chain gene. <i>Blood</i> , 73(8):2081-2085.			
,	17	GYGI, et al. Mar. 1999. Correlation between Protein and mRNA Abundance in Yeast. Molecular and Cellular Biology, 1720-1730.			
	18	HANNA, et al. Aug. 1999. HER-2/neu breast cancer predictive testing. <i>Pathology Associates Medical Laboratories</i> .			
	19	HYMAN et al. Nov. 2002. Impact of DNA Amplification of Gene Expression Patterns. Cancer Research, 62:6240-6245.			
	20	LEWIN, B. 1994. Oncogenes: Gene Expression and Cancer, Chap. 39, pp.1196-1201. Genes V. New York: Oxford University Press.			

Examiner Signature	anature
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Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

PTO/SB/08 Equivalent

APR 1 4 2005 Application No. 10/063,713 INFORMATION DISCLOSURE Filing Date May 8, 2002 First Named Inventor Goddard et al. STATEMENT BY APPLICANT Art Unit 1646 (Multiple sheets used when necessary) Examiner Claire M. Kaufman SHEET 2 OF 2 GNE.3230R1C144 Attorney Docket No.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ¹		
	21	LEWIN, B. 1997. Regulation of Transcription, Chap. 29, pp. 847-848. <i>Genes VI</i> . New York: Oxford University Press.			
	22	MEEKER, et al. 1990. Activation of the interleukin-3 gene by chromosome translocation in acute lymphocytic leukemia with eosinophilia. <i>Blood</i> , 76(2):285-289.			
·	23	MERIC, et al. 2002. Translation initiation in cancer: A novel target for therapy. <i>Molecular Cancer Therapeutics</i> , 1:971-979.			
	24	ØRNTOFT, et al. 2002. Genome-wide study of gene copy numbers, transcripts, and protein levels in pairs of non-invasive and invasive human transitional cell carcinomas. <i>Molecular & Cellular Proteomics</i> , 1:37-45.			
	25	POLLACK, et al. 2002. Microarray analysis reveals a major direct role of DNA copy number alteration in the transcriptional program of human breast tumors. <i>PNAS</i> , 99(20):12963-12968.			
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